

786377
09/786337



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NOV - 511785-3

TC 2800 MAIL ROOM

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

SZALAY, Aladar A. et al.

Serial No.: 09/786,337

Filed: March 1, 2001

For: Method for Studying Protein
Interactions *in Vivo*

Group Art Unit: to be assigned

Examiner: to be assigned

Pasadena, California

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SEP 27 2002
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RESPONSE TO NOTIFICATION OF DEFECTIVE RESPONSE

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Sir:

In response to the Notification of Defective Response, attached with this communication is a computer readable form of the Sequence Listing and a hard copy of the Sequence Listing as originally filed in the above-identified patent application.

CERTIFICATE OF MAILING

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE U.S. POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: U.S. PATENT AND TRADEMARK OFFICE, BOX SEQUENCE, P.O. BOX 2327, ARLINGTON, VA 22202 ON SEPTEMBER 19, 2002.

By: 

MARILYN PAIK

37 CFR 1.1821(f) - I hereby state that the content of the paper copy as originally submitted and the computer readable copy of the Sequence Listing submitted herewith in accordance with 37 CFR 1.1821(c) and (e), respectively, are the same, and include no new matter as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b) or 1.825(d).

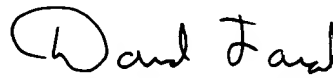
No fee is believed due with this communication. However, the Commissioner is hereby authorized to charge payment of any fees believed due with this communication to Deposit Account No. 19-2090.

Respectfully submitted,

SHELDON & MAK
a Professional Corporation

Date: September 19, 2002

By



David A. Farah, M.D.
Registration No. 38,134

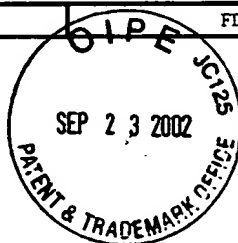
Sheldon & Mak
225 South Lake Avenue, 9th Floor
Pasadena, California 91101
Tel.: (626) 796-4000
Fax: (626) 795-6321



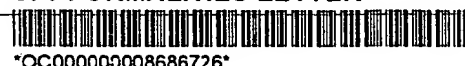
UNITED STATES PATENT AND TRADEMARK OFFICE

 Commissioner for Patents, Box PCT
 United States Patent and Trademark Office
 Washington, D.C. 20231
 www.uspto.gov

U.S. APPLICATION NUMBER NO. 09/786,377	FIRST NAMED APPLICANT Aladar A Szalay	ATTY. DOCKET NO. 11785-3
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 David A Farah
 c/o Sheldon & Mak
 225 South Lake Avenue
 9th Floor
 Pasadena, CA 91101


INTERNATIONAL APPLICATION NO. PCT/US99/20207	
IA. FILING DATE 09/02/1999	PRIORITY DATE 09/03/1998

 CONFIRMATION NO. 8166
 371 FORMALITIES LETTER

Response due 9/21/02

Date Mailed: 08/27/2002

NOTIFICATION OF DEFECTIVE RESPONSE

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fee
- Indication of Small Entity Status
- Priority Document
- Biochemical Sequence Diskette
- Biochemical Sequence Listing
- Copy of IPE Report
- Copy of the International Application
- Copy of the International Search Report
- Information Disclosure Statements
- Oath or Declaration
- Request for Immediate Examination

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

Applicant is required to complete the response within a time limit of **ONE MONTH** from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended under 37 CFR 1.136(a).

The following items **MUST** be furnished within the period set forth below:

• The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):

- See attached CRF Problem Report. Did not contain a sequence listing.
- APPLICANT MUST PROVIDE:

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- An initial or substitute paper copy or compact disc of the "Sequence Listing," as well as an amendment directing its entry into the specification.

- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

JOHN L ANDERSON

Telephone: (703) 308-9116

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/786,377	PCT/US99/20207	11785-3

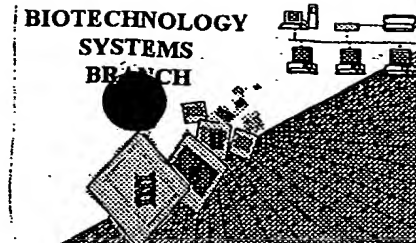
FORM PCT/DO/EO/916 (371 Formalities Notice)

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Mark W. Form.

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CRF Problem Report



5000

JH

The Scientific and Technical Information Center (STIC) experienced a problem when processing the following computer readable form (CRF):

Application Serial Number: 09/786,377
Filing Date: 3/1/2001
Date Processed by STIC: 3/5/2002

STIC Contact: Mark Spencer, 703-308-4212

Nature of Problem:

The CRF (was):

- ☐ (circle one) Damaged or Unreadable (for Unreadable, see attached)
☐ Blank (no files on CRF) (see attached)
☐ Empty file (filename present, but no bytes in file) (see attached)
☐ Virus-infected. Virus name: _____ The STIC will not process the CRF.
☐ Not saved in ASCII text
☐ Sequence Listing was embedded in the file. According to Sequence Rules, submitted file should **only** be the Sequence Listing.
☒ Did not contain a Sequence Listing. (see attached sample)
☐ Other: _____

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SEE BELOW FOR ADDRESS:**

<http://www.uspto.gov/web/offices/pac/checker>

Applicants submitting genetic sequence information electronically on diskette or CD-Rom should be aware that there is a possibility that the disk/CD-Rom may have been affected by treatment given to all incoming mail.

Please consider using alternate methods of submission for the disk/CD-Rom or replacement disk/CD-Rom.

Any reply including a sequence listing in electronic form should NOT be sent to the 20231 zip code address for the United States Patent and Trademark Office, and instead should be sent via the following to the indicated addresses:

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2. U.S. Postal Service: U.S. Patent and Trademark Office, Box Sequence, P.O. Box 2327, Arlington, VA 22202
3. Hand Carry directly to:
U.S. Patent and Trademark Office, Technology Center 1600, Reception Area, 7th Floor, Examiner Name, Sequence Information, Crystal Mall One, 1911 South Clark Street, Arlington, VA 22202
Or
U.S. Patent and Trademark Office, Box Sequence, Customer Window, Lobby, Room 1B03, Crystal Plaza Two, 2011 South Clark Place, Arlington, VA 22202
4. Federal Express, United Parcel Service , or other delivery service to: U.S. Patent and Trademark Office, Box Sequence, Room 1B03-Mailroom, Crystal Plaza Two, 2011 South Clark Place, Arlington, VA 22202

Revised 01/29/2002

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09/786377

~ CProject

CProjectData 0Method for Studying Protein Interactions in Vivoll785-

US 09/786377

1999-09-02 #J:\Loma Linda University\11785-3PCT

CDNASequence

SEQ ID NO:

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gccgctctc ccaggggcag cgcgaggtc cctgctggtg tgtggatcgg atgggcaagt

(sample of submitted file)

If file was created, using PatentIn software,
please contact Robert Wax at 703-306-4119 or
703-308-4216 for assistance.

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